



#3

Attorney's Docket No. H0498/7151 TJO

Applicant or Patentee: David H. Gracias et al.
Serial or Patent No: 09/909,420
Filed or Issued: July 19, 2001
For: SELF-ASSEMBLED ELECTRICAL NETWORKS

**STATEMENT CLAIMING SMALL ENTITY STATUS
SMALL BUSINESS CONCERN**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: Surface Logix
ADDRESS OF ORGANIZATION: 50 Soldier's Field Place
Brighton, MA 02135

The small business concern identified below qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern with regard to the above-identified invention, SELF-ASSEMBLED ELECTRICAL NETWORKS, by David Gracias et al., described in U.S. Patent Application Serial No. 09/909,420, filed 07/19/01.

LIST OF OTHER CONCERNS HAVING RIGHTS IN THE INVENTION

If the rights held by the small business or nonprofit organization or individual inventor are not exclusive, each additional individual concern or organization having rights to the invention is listed below. (If your rights are exclusive, then no such person, concern or organization should be selected).

- ☐ no such person, concern or organization
☒ persons, concerns or organizations listed below*

*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.

FULL NAME President and Fellows of Harvard College
ADDRESS 17 Quincy Street, Cambridge, MA 02138

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

The concern or inventor identified above acknowledges the duty to notify the U.S. PTO of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

PRINTED NAME OF PERSON SIGNING:

TITLE OF PERSON (if other than owner):

ADDRESS OF PERSON SIGNING:

WINSTON E. HENDERSON

DIRECTOR OF INTELLECTUAL PROPERTY

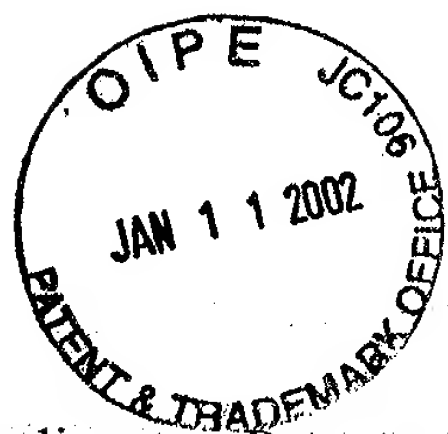
50 SOLDIERS FIELD PLACE

BOSTON, MA 02135

Winston E. Henderson
Signature

11/7/01

Date



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(37 CFR 1.9(e) and 1.27(d) - NONPROFIT ORGANIZATION)**

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NAME OF ORGANIZATION: President and Fellows of Harvard College
ADDRESS OF ORGANIZATION: 17 Quincy Street
Cambridge, MA 02138

TYPE OF ORGANIZATION: UNIVERSITY OR OTHER INSTITUTION OF HIGHER
EDUCATION

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code with regard to the invention entitled SELF-ASSEMBLED ELECTRICAL NETWORKS by inventor(s), David H. Gracias, Joe Tien, and George M. Whitesides, described in application serial no. 09/909,420, filed 07/19/01.

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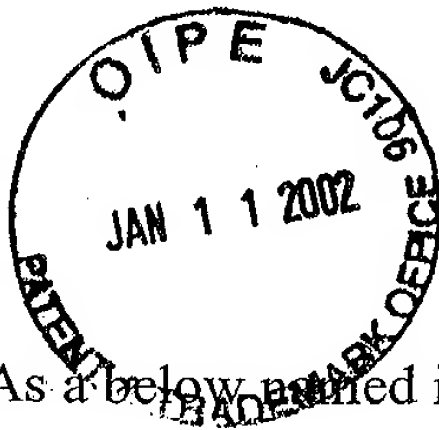
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PRINTED NAME OF PERSON SIGNING:
TITLE OF PERSON (if other than owner):
ADDRESS OF PERSON SIGNING:

Joyce Brinton, Director
~~Office for Technology and Trademark Licensing~~
~~Harvard University~~

Signature

Date



Attorney  ket No. H0498/7151 TJO

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SELF-ASSEMBLED ELECTRICAL NETWORKS

the specification of which is attached hereto unless the following is checked:

☒ was filed on July 19, 2001, as Application No. 09/909,420.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. §§119 and 365(a),(b):

Priority
Claimed

(Number)	(Country-if PCT, so indicate)	(DD/MM/YY Filed)	<input type="checkbox"/> YES	<input type="checkbox"/> NO
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I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

60/219,570	07/20/00
(Application Number)	(filing date)
60/226,105	08/17/00
(Application Number)	(filing date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56

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ORIGINALLY FILED

which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application No.)	(filing date)	(status-patented, pending, abandoned)
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PCT International Applications designating the United States:

(PCT Appl. No.)	(U.S. Ser. No.)	(PCT filing date)	(status-patented, pending, abandoned)
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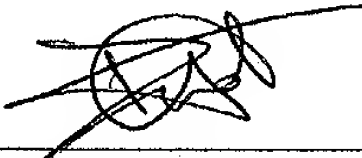
I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Robert M. Abrahamsen	40,886	Jason M. Honeyman	31,624	Stanley Sacks	19,900
John N. Anastasi	37,765	Robert E. Hunt	39,231	Christopher S. Schultz	37,929
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Neil P. Ferraro	39,188	Peter C. Lando	34,654	Robert A. Skrivanek, Jr.	41,316
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Peter J. Gordon	35,164	James H. Morris	34,681	John R. Van Amsterdam	40,212
John C. Gorecki	38,471	M. Lawrence Oliverio	30,915	Michael G. Verga	39,410
William G. Gosz	27,787	Timothy J. Oyer	36,628	Robert H. Walat	46,324
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Steven J. Henry	27,900	Robert E. Rigby, Jr.	36,904	Edward J. Russavage	43,069

Address all telephone calls to Timothy J. Oyer at telephone no. (617) 720-3500. Address all correspondence to:

Timothy J. Oyer
c/o Wolf, Greenfield & Sacks, P.C.,
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210-2211

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.


Inventor's signature

Full name of first joint inventor:

Citizenship:

Residence:

Post Office Address:

David H. Gracias

United States INDIA (D.G.)

62 Fayette Street, #1, Cambridge, MA 02139 18770 NW ROCK CREEK

Same as above

APARTMENT 102
PORTLAND, OR 97229

10/23/2001
Date

(D.G.)
CIRCLE

Inventor's signature

Date

Full name of second joint inventor:

Joe Tien

Citizenship:

United States

Residence:

611 Park Avenue, #502, Baltimore, MD 21201

Post Office Address:

Same as above

Inventor's signature

Date

Full name of fifth joint inventor:

George M. Whitesides

Citizenship:

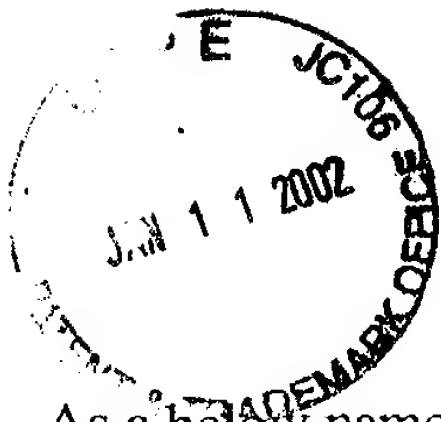
United States

Residence:

124 Grasmere Street, Newton, MA 02158

Post Office Address:

Same as above

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SELF-ASSEMBLED ELECTRICAL NETWORKS

the specification of which is attached hereto unless the following is checked:

☒ [X] was filed on July 19, 2001, as Application No. 09/909,420.

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Date

Full name of first joint inventor:

David H. Gracias

Citizenship:

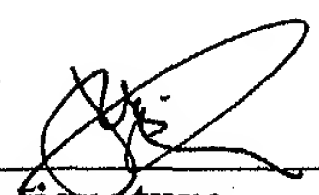
United States

Residence:

62 Fayette Street, #1, Cambridge, MA 02139

Post Office Address:

Same as above



Inventor's signature

11/5/01

Date

Full name of second joint inventor:

Joe Tien

Citizenship:

United States

Residence:

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Post Office Address:

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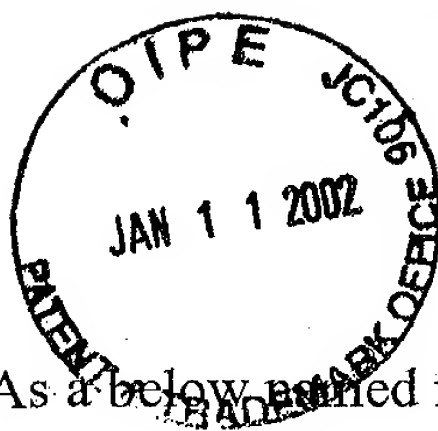
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Citizenship:

United States

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